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trans  $\rightleftharpoons$  cis Photointerconversion, photocyclization, cis  $\longrightarrow$  DHP (= 4a,4b-dihydrophenanthrene derivatives), photoinduced ring opening DHP  $\longrightarrow$  cis, and photocyclodehydrogenation cis  $\longrightarrow$  P of solutions of the title compounds were investigated in the temperature range +25 to -180° with static and flash photolytic methods, and quantum yields were determined. Absorption and emission spectra of both isomers of all compounds, and absorption spectra of most cyclization products were measured over the same temperature range. A pronounced variation of the fluorescence spectra of several *trans*-compounds with the temperature and the excitation wavelength was observed, and may be due to conformer equilibria. The cis isomers exist as equilibrium mixtures of two to four possible conformers, A, B, B', and C. Of these, short-lived cyclization products of the A and B conformers, DHP<sub>A</sub>, and DHP<sub>B</sub>, were observed at room temperature in most cases. At reduced temperatures DHP<sub>B</sub> is the predominant product; it was also observed for three compounds with static methods. Quantum yields of all photoreactions are attenuated on cooling, while fluorescence yields increase concurrently, the calculated corresponding critical increments amounting to 1–4 kcal mol<sup>-1</sup>. The rate of the thermal reversion DHP  $\longrightarrow$  cis increases on heating, with calculated critical increments of 8–18 kcal mol<sup>-1</sup>.

In earlier publications we described in detail the reversible photoisomerization,  $trans \rightleftharpoons cis$ , and photocyclization,  $cis \oiint 4a,4b$ -dihydrophenanthrene derivative (DHP), for a wide range of temperatures, for stilbene and several derivatives<sup>2</sup> and for the various naphthyl homologues of stilbene.<sup>1</sup> Particular attention Isomers (IIA and B) were found to differ considerably in the conditions of their photoformation and in their spontaneous reactions.

We now describe the photochemistry of six higher members in the series of diarylethylenes characterized by their possible photocyclodehydrogenation to the



was drawn to the primary photocyclization products of the several conformers of 1-phenyl- and 1-naphthyl-2-(2-naphthyl)ethylenes.<sup>1</sup> Specifically, DHPs formed from two of the three possible conformers of *cis*-1,2di-(2-naphthyl)ethylene [(IA) and (IB)] were observed and identified by oxidation to the corresponding phenanthrene derivatives (IIIA and B). Compound (IIIA) is the simplest member in the helicene series,<sup>3</sup> ' pentahelicene.' Compound (IC) does not photocyclize. corresponding helicenes. All six are 1,2-diarylethylenes where at least one aryl group is either 3-phenanthryl or 2-benzo[c]phenanthryl (Schemes 1 and 2). Martin's notation <sup>4</sup> will be used, according to which each compound is described by two numbers denoting the number of benzene rings in each of the two aryl groups in ArCH=CHAr': 1 is phenyl, 2 is 2-naphthyl, 3 is 3phenanthryl, 4 is 2-benzo[c]phenanthryl. Thus compound (I) is denoted (2 + 2), etc. The compounds



as depicted in the Schemes. Their photocyclodehydrogenation at room temperature in the presence of iodine has been described by Martin,<sup>4</sup> in connection with the helicenes, except for (2 + 3) which was studied by Laarhoven.<sup>5</sup> According to these authors, polycyclic products derived from conformers A, *i.e.* helicenes, were obtained with all six compounds, while B-type products were observed only with (2 + 3) and (2 + 4), where the reported ratios A : B were 22 : 50 <sup>5,6</sup> and 20 : 65 <sup>4</sup> respec-

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tively. No C-type products were obtained in any case. According to semiempirical calculations,<sup>5</sup> the  $\Sigma F_r^*$  values are <1 for all products C (predicting absence of photocyclization), but >1 for all products B and B' except for (1 + 4), so that the possibility of their observation existed, despite the above results \* (cf. Discussion section). In the course of this investigation the following reactions were studied over the temperature range +25 to  $-180^{\circ}$ : trans  $\rightleftharpoons$  cis photoisomerization, cis --- trans isomerization with atomic iodine, photocyclization of type A and B conformers of the cis-isomers to the corresponding DHP derivatives and the reverse reaction, dehydrogenation of the DHPs by atomic iodine to the corresponding phenanthrene derivatives P, and finally the direct photocyclodehydrogenation with iodine as described,<sup>4,5</sup> but also at reduced temperatures. Where possible, quantum yields were determined. Emission spectra and yields of all the trans- and the cis-isomers were also measured. Conventional static and flash spectrophotometric methods were employed.



FIGURE 1 Absorption spectra of solutions in MCH-IH (2:1) at room temperature, of the compounds indicated. Concentrations were in the range  $1.6 \times 10^{-5}$ ... $2.9 \times 10^{-5}$ M. Full curves, trans-isomers; dashed curves, cis-isomers, DHP curves as indicated. Curves H for (1 + 4) and N for (4 + 4) denote hexahelicene and nonahelicene, respectively. The DHP<sub>B</sub> spectra of (2 + 3) and (2 + 4) were obtained by static spectrophotometry, all other DHP spectra were plotted from flash photolysis data

### RESULTS

cis-trans Isomers and Isomerization.—The cis-isomers were usually obtained by u.v. irradiation of the respective trans-

<sup>\*</sup> In Part 8<sup>1</sup> we cited evidence from earlier literature that in (2 + 2) (IIIA) is the only photo-oxidation product, and tried to reconcile this fact with our observation of (IIIB). Since then Professor Martin informed us that in his laboratory (IIB) was actually found to constitute a major product of photo-oxidation. This result was confirmed by us, and we are at a loss to explain the absence of (IIIB) reported by earlier authors.







isomers, and separated by t.l.c., except with (3 + 3), where the photoconversion trans  $\rightarrow$  cis is very inefficient. Complete cis  $\rightarrow$  trans conversion could be achieved in all six compounds by atomic iodine, as described earlier,<sup>1,7</sup>

The room temperature spectra of the *cis*- and *trans*isomers are shown in Figure 1, while the absorption and emission spectra at  $-170^{\circ}$  are presented in Figure 2. The spectra of all the *trans*-derivatives show vibrational structure similar to that observed in stilbene and in (2 + 2). The variation of the absorption (and emission) spectra of the *trans*-isomers with the temperature is very pronounced, as seen from a comparison of the absorption spectra in Figures 1 and 2. No structure was observed in the spectra of the *cis*-isomers. [The *cis*-isomers of (2 + 4), (3 + 4), (4 + 4) may contain an impurity which we could not get rid of, and which may be responsible for the absorption peaks by another sharp decline at still lower temperatures. A similar situation prevails in the naphthyl derivatives described earlier.<sup>1</sup> In (3 + 3) the photoisomerization trans  $\longrightarrow$  cis is so inefficient that  $Q_t$  could not be measured.  $Q_t$  is also quite small in (2 + 3) and (3 + 4). Accordingly the fluorescence yield, to be described later, is 0.7-0.8 at room temperature, compared with 0.06 in stilbene. The emission is thus the main deactivation path of the excited trans-isomer in these cases even at room temperature.  $Q_c$  of most compounds in MTHF solutions, as well as  $Q_t$  where measured, remain constant on cooling, and start falling off only at rather low temperatures  $(-140^\circ)$ , as already observed



FIGURE 2 Absorption and emission spectra of solutions in MCH-IH at -170 to  $-180^{\circ}$ . Full curves, *trans*; dashed curves, *cis*; left side, absorption; right side, emission. For (1 + 4) the absorption spectrum of the *trans*-isomer was also taken at a 50-fold higher concentration, (*trans 2*). The concentrations of *cis* and *trans* are identical

at ca. 300 nm.] In all cases the trans-absorption spectrum is shifted to longer wavelengths, relatively to that of the corresponding cis-isomer, and therefore irradiation of trans at 366 nm brings about maximal conversion into cis, while for the reverse reaction, cis  $\rightarrow$  trans, 313 nm was usually the optimal wavelength of irradiation. The cis  $\rightleftharpoons$  trans quantum yields,  $Q(trans \rightarrow cis) \equiv Q_t$  and  $Q(cis \rightarrow trans)$  $\equiv Q_c$ , were measured as a function of temperature in the range +20 to -180° either in a 2:1 mixture of methylcyclohexane (MCH) and isohexane (IH) or in 2-methyltetrahydrofuran (MTHF). The results are summarized in Figure 3.

The pronounced temperature dependence of  $Q_t$  observed for stilbenes<sup>8</sup> is exhibited by the present compounds from  $-120^{\circ}$  downwards. Compounds (2 + 3) and (3 + 4) show in addition a plateau at intermediate temperatures followed in cis-stilbene. Some MCH solutions show different behaviour:  $Q_c$  of (3 + 3) falls off on cooling from room temperature, while in (1 + 4) and (3 + 4)  $Q_c$  at room temperature is very small and can be measured only at low temperatures. The probable reason is the existence of competing reactions which are cut out at low temperatures. In  $(2 + 4) Q_c$  could be measured only at  $-180^\circ$ :  $Q_c = 0.002$ (!), a unique case so far.

A plot of log  $Q_t$  and log  $Q_c$  against  $T^{-1}$  shows roughly an Arrhenius-type relationship, with different slopes in the high and low temperature ranges. The activation energies  $E_a$  for the photoisomerization reactions in nonpolar solvents calculated in this way are summarized in Table 1. The values for stilbene are included for comparison. Values in the low-temperature range probably include viscosity effects. Fluorescence Spectra and Yields.—The emission and absorption spectra, measured in MCH–IH solutions at -170 to  $-180^{\circ}$ , are shown in Figures 2a and b. The spectra of the *trans*-isomers are rather similar to that of *trans*-stilbene, with vibrational structure which becomes more pronounced

at low temperatures.<sup>1,8</sup> In several *trans*-compounds the emission spectra vary markedly on cooling and also with the wavelength of excitation, suggesting a variation in the ratio between two modifications.<sup>9</sup>

The emission spectra of the cis-isomers are structureless,





FIGURE 3 Quantum yields of photoisomerization, photocyclization, photoinduced ring opening, and fluorescence. in the temperature range +25 to  $-180^{\circ}$ . Solvent MCH-IH unless denoted MTHF or MCH

TABLE 1

Activation energies  $E_a$ , calculated from Arrhenius-type plots of  $Q_t$  and  $Q_c$  against  $T^{-1}$  measured in a MCH–IH mixture

		$E_{a}/$
Compound	Reaction	kcal mol <sup>-1</sup>
(2 + 3)	trans $\longrightarrow$ cis (high T)	3.0
(2 + 3)	trans $\longrightarrow cis$ (low T)	1.3
(2 + 3)	$cis \longrightarrow trans (low T)$	3.3
(2 + 4)	trans $\longrightarrow cis  (low T)$	1.7
(1 + 4)	cis $\longrightarrow$ trans (low T)	1.1
(1 + 4)	trans $\longrightarrow$ cis (low T)	4.0
(3 + 3)	$cis \longrightarrow trans (high T)$	2.3
(3 + 3)	$cis \longrightarrow trans (low T)$	1.9
(3 + 4)	trans $\longrightarrow$ cis (low T)	3.0
(3 + 4)	$cis \longrightarrow trans (low T)$	3.6
(4 + 4)	trans $\longrightarrow$ cis (high T)	0.7
(4 + 4)	$cis \longrightarrow trans (low T)$	2.5
Stilbene	trans —> cis	<b>2</b>
Stilbene	$cis \longrightarrow trans (low T)$	1.2

with much larger Stokes' shifts than those observed in the trans-isomers, again as reported for stilbene and its derivatives,<sup>10</sup> and for its naphthyl homologues.<sup>11</sup> Like in the latter, the fluorescence quantum yield of the trans-isomers,  $Q_t^{\rm F}$ , is appreciable already at room temperature, and increases further on cooling, parallel to the decrease in  $Q_t$ . Only in  $(1 + 4) Q_t^{\rm F}$  retains its rather low value even at  $-180^{\circ}$ where  $Q_t \longrightarrow 0$ . The fluorescence yield of the *cis*-isomers,  $Q_c^{\rm F}$  was negligible at room temperature, so that emission measurements showed only the spectra of the small but unavoidable fraction of the corresponding trans-isomers. Only with (4 + 4) some 'real' cis-emission was observed at room temperature, with  $Q_c^{\rm F}$  ca. 0.10. Such cases are very rare among the cis-diarylethylenes.<sup>12</sup>  $Q_c^{\rm F}$  increases on cooling and in most cases reaches rather high values at  $-180^\circ$ , so that the emission due to traces of trans no longer interferes. Only with (2 + 4) no *cis*-emission could be established unambiguously even at  $-180^{\circ}$ . On the whole, the variation of  $Q_c^{\rm F}$  with the temperature complements that of  $Q_c$  and/or  $Q(cis \longrightarrow \rm DHP)$ , to be described later. Thus emission takes over when deactivation *via* isomerization and/or cyclization is slowed down.

Photocyclization and Photoinduced Ring-opening. Static Methods.—As mentioned in the introduction, the photocyclodehydrogenation of all six compounds at room temperature yields polycyclic aromatic products derived from the A conformers, and in two cases also products derived from the B conformers. If we follow the accepted assumption that photocyclodehydrogenation is a two-stage process,  $cis \xrightarrow{h\nu} \text{DHP} \xrightarrow{-2H} P$ , it should be possible to observe the DHPs derived from A in all compounds, and those derived from B in some cases, as a result of u.v. irradiation of the cis-isomers in the absence of oxidants, *i.e.* in argon-flushed solutions.

No coloured photoproducts, or in fact any photoproducts, were observed under these conditions with any of the compounds at room temperature. At low temperatures extensive photocolouration was found with solutions of



(2 + 3) and (2 + 4). The reaction could be reversed with visible light. The absorption of the photoproduct in the visible and its photoerasure with visible light fitted the expected properties of DHPs. They were identified as DHP<sub>B</sub>s by their dehydrogenation with atomic iodine, which led to the polycyclic products expected from the B conformers in both compounds. As described earlier, this procedure also allowed calculation of the extent of phototransformation into DHP<sub>B</sub> in each case [60% at  $-80^{\circ}$  with (2 + 4) and 30% at  $-100^{\circ}$  with (2 + 3)].

The spectra of the pure DHP<sub>B</sub>s of (2 + 3) and (2 + 4) constructed from these results are shown in Figure 1. In common with DHPs described previously, they are characterized by a broad structured band in the visible extending to 600 nm, and another one in the near u.v. region.

The variation of  $Q(cis \xrightarrow{313} \text{DHP})$  and  $Q(\text{DHP} \xrightarrow{546} cis)$ with temperature was studied with both compounds (Figure 3) (cf. also next paragraph). As already reported <sup>1</sup> for DHP<sub>B</sub> formed from (2 + 2), the yield of its formation is fairly constant down to  $ca. -60^{\circ}$ , (2 + 4), or  $-120^{\circ}$ , (2 + 3), and then falls off sharply at lower temperatures. The photoreversion, DHP<sub>B</sub>  $\longrightarrow cis$ , with light at 546 nm, could be measured only in the temperature range of the DHP<sub>B</sub>s thermal stability. Its yield was found to drop on cooling. An Arrhenius-type plot yielded activation energies, for the respective Qs, of 2.3 for (2 + 4) and 1.7 kcal mol<sup>-1</sup> for (2 + 3).

In (1 + 4) a very slight photocolouration was observed at -40 to  $-80^{\circ}$ , and ascribed to DHP<sub>A</sub>. In view of its unique photochemistry this compound is described separately.<sup>13</sup>

Photocyclization. Flash Methods .--- A flash photolytic study in the temperature range from +25 to  $-100^{\circ}$ revealed the existence of coloured transients in all six compounds (Figure 1) including of course the two mentioned in the preceding paragraph. All of them decayed at rates depending on the temperature. The decay could be speeded up by employing intense monitoring light. These transients thus exhibit three of the properties characterizing DHPs, absorption in the visible range, erasure with visible light, and thermal reversion to the corresponding *cis* isomers. Another major property, dehydrogenation to the corresponding polycyclic hydrocarbons, could be demonstrated only qualitatively and indirectly because of the thermal instability of the transients. With (2 + 3) and (2 + 4) the  $DHP_{Bs}$  were identified by their spectra, which are similar to those observed 'statically' at low temperatures, as described in the preceding paragraph. The identification of the other DHPs described in Figure 1 was indirect, by means of the photocyclodehydrogenation yields and products in a range of temperatures described in the following paragraph and its comparison with the temperature dependence of the relative yield of formation of the transients (measured by absorbance created per flash). No DHPAs were observed in (2 + 3) and (3 + 3), despite the fact that the respective  $\mathrm{P}_{A}s$  are formed by photodehydrogenation at room temperature. Similarly, no  $DHP_B$  was observed with (4 + 4), although  $P_B$  was the sole product of photo-oxidation with iodine at  $-40^{\circ}$ . The quantum yields  $Q(cis \longrightarrow DHP)$  in Figure 3 were calculated from the relative yields at various temperatures, and the absolute yield of photocyclodehydrogenation  $Q(cis \longrightarrow P)$  at one suitable temperature, assuming the two Qs to be identical at that temperature. [More correctly,  $Q(cis \rightarrow DHP) \ge Q(cis \rightarrow P)$ ]. The quantum yields of cyclization  $Q(cis \longrightarrow DHP_A)$  at room temperature were low, except for (1 + 4): (1 + 4) 0.12, (2 + 4) 0.02, (3 + 4) 0.004, (4 + 4) 0.002. As shown in Figure 3, these yields drop on cooling, with no  $DHP_A$  being observable below ca.  $-30^{\circ}$ . DHP<sub>B</sub> formation is also slowed down on cooling, but at much lower temperatures, with no DHP<sub>B</sub> observable below ca.  $-120^{\circ}$ . The cyclization yields decrease with increasing size of the ring system.

The absorption spectra of the DHP<sub>B</sub>s were in most cases shifted to longer wavelengths, in comparison with the corresponding DHP<sub>A</sub>s. (2 + 4) is an exception. Fortunately the thermal decay of the DHP<sub>B</sub>s above *ca.* 0° was much faster than that of the DHP<sub>A</sub>s, and this fact aided in the differentiation between DHP<sub>A</sub> and DHP<sub>B</sub> in the flash experiments. As seen in Figure 1, the absorption of the DHP<sub>B</sub>s of (3 + 3) and (3 + 4) extends to almost 800 nm; and that of the DHP<sub>A</sub>s is peaked at *ca.* 550 nm, compared with 450 nm in stilbene (1 + 1).

From measurements of the decay rate of these DHPs in a wide temperature range we calculated the activation energies for thermal ring fission, DHP  $\longrightarrow cis$ . The results are given in Table 2. Some representative half-life times of thermal decay of various DHPs were as follows:  $(1 + 4)_A 6 \text{ s at } 20^\circ$ ,  $(2 + 3)_B 0.6 \text{ s at } 20^\circ$ ,  $(2 + 4)_A 30 \text{ s at } 20^\circ$ ,  $(2 + 4)_B 1.7 \text{ s at } 20^\circ$ ,  $(3 + 3)_B 1.3 \text{ ms at } -40^\circ$ ,  $(3 + 4)_A 0.4 \text{ s}$ at 20°,  $(3 + 4)_B 4.4 \text{ ms at } -50^\circ$ ,  $(4 + 4)_A 1.4 \text{ s at } 20^\circ$ . The  $DHP_As$  are thus characterized by much slower decay rates. This conforms with our earlier observations <sup>1</sup> in (2 + 2).

Photocyclodehydrogenations (PCDH) with Iodine over a Wide Range of Temperatures.—As mentioned in the Introduction, these reactions have been studied extensively at room temperature by the groups of Martin <sup>3,4,6</sup> and Laarhoven.<sup>5</sup> P<sub>A</sub>s were observed in all six compounds, while P<sub>B</sub>s were found only with (2 + 3) and (2 + 4). In view of our observation of DHP<sub>B</sub>s of several additional compounds by low temperature flash photolysis it seemed appropriate to look for P<sub>B</sub>s in the rest of the compounds, by carrying out the PCDH,  $cis \xrightarrow{h\nu}$  DHP  $\xrightarrow{-2H}$  P, at reduced temperatures too, in addition to measuring quantum yields of the photoformation of P<sub>A</sub>s and P<sub>B</sub>s in a wide temperature range.

## TABLE 2

Activation energies  $E_a/kcal mol^{-1}$  for the thermal fission, calculated from kinetic flash results in the temperature ranges indicated

	DHP <sub>A</sub> $(T/^{\circ}C)$	$DHP_{B}$ (T/°C)
(2 + 3)		22 (+20  to  -20)
(3 + 3)		12 (-20  to  -80)
(2 + 4)	13 (+25 - +65)	17 (+25 - +65)
(1 + 4)	$12 \ (+15 \ \text{to} \ -10)$	
(3 + 4)	9 (+20 to $-30$ )	8 (-40  to  -70)
(4 + 4)	8 $(+20 \text{ to } -40)$	

Since only spectrophotometric methods were used for analysis, it was much easier to detect  $P_Bs$ , characterized by



#### octahelicene

rather sharp absorption peaks, than  $P_As$ , *i.e.* helicenes, with their rather smeared-out absorption spectra (Figure 1). We could observe photoformation of P<sub>B</sub>s in all compounds except (1 + 4) by u.v. irradiation of the *cis*-isomers in the presence of iodine at reduced temperatures. As the temperature is lowcred,  $P_{BS}$  gradually turn to be the sole products because, as we saw, the formation of DHP<sub>A</sub>s is slowed down sharply on cooling, while that of DHP<sub>B</sub> is attenuated only at much lower temperatures (Figure 3). The quantum yields of the reaction are low (>0.01) even at room temperature for all but (1 + 4). Some typical values for  $P_A$  formation are 0.004 with (3 + 4) and 0.0025 with (4 + 4). The reaction virtually stops at -40 and  $-20^{\circ}$ , respectively. The spectra of the  $P_Bs$  of (3 + 4), (4 + 4), and of (3 + 3) are shown in Figure 4, since they have not been reported hitherto. Those of (2 + 3) and (2 + 4) have been described.<sup>4,5</sup> With (3 + 3), the P<sub>B</sub> formed in the first stage undergoes further PCDH to the eight-ring system E. The second stage does not take place at  $-80^{\circ}$ , and P<sub>B</sub> is the final product. It was prepared in this way and purified by t.l.c.



FIGURE 4 Absorption spectra of photocyclodehydrogenation products formed by u.v. irradiation in the presence of excess of iodine at reduced temperatures. Curve 1, assumed P<sub>B</sub>-(3 + 4), ca. 10<sup>-5</sup>M in MCH, formed from cis-(3 + 4) at -30°. Curve 2, assumed P<sub>B</sub>-(4 + 4), obtained from cis-(4 + 4) at -40°, followed by t.l.c., ca.  $2 \times 10^{-5}$ M. Curve 3, assumed P<sub>B</sub>-(3 + 3), obtained from cis-(3 + 3) at -80°, ca.  $1.7 \times 10^{-5}$ M

(cf. the spectrum in Figure 4). Its irradiation at 366 nm and  $25^{\circ}$  in the presence of iodine yielded E with a quantum



nonahelicene

yield of 0.013. E is also not described in the literature. Its absorption spectrum in the visible range shows peaks at 405 and 430 nm.

The P<sub>B</sub>s of (3 + 3), (3 + 4), and (4 + 4), as well as E, were not prepared in larger quantities. They were identified indirectly by means of their absorption spectra, as follows. For (3 + 3), the spectrum of the compound expected from the PCDH of  $cis_{\rm C}$  is described by Clar,<sup>14</sup> and differs from the spectrum observed and ascribed to P<sub>B</sub>. The second stage, PCDH to E, also fits this assumption. E has an absorption spectrum closely similar to that of the polycyclic benzo[ghi]perylene,<sup>14</sup> which is the second stage product of PCDH of (2 + 2). For (3 + 4), P<sub>B</sub> and P<sub>B</sub>, could be formed. P<sub>B</sub>, would be expected to undergo a second-stage PCDH, similar to that seen with (3 + 3). The absence of such a second stage indicates that P<sub>B</sub> is indeed the product obtained by us. For (4 + 4), the spectrum tentatively assigned to P<sub>B</sub> differs from that of the known product expected from PCDH of  $cis_{C}$ . Hopefully the spectra of unequivocal samples of the above  $P_{B}s$  and of E will eventually be available for comparison.

#### DISCUSSION

*Cyclization.*—According to Laarhoven,<sup>5</sup> ring closure in the excited state of *cis*-stilbene-like molecules to 4a,4b-DHP-like molecules is expected to take place only if the



sum of the 'free valence numbers'  $\Sigma F_r^*$  exceeds unity. If several cyclization routes are 'allowed,' they will take place roughly in the order of decreasing  $\Sigma F_r^*$ . In Table **3** we cite the  $\Sigma F_r^*$  values reported by Laarhoven <sup>5</sup> for the present compounds.

#### TABLE 3

Sums of free valence numbers in the excited state of various diarylethylenes according to Laarhoven,<sup>5</sup> and DHPs and Ps observed (*cf.* text and formulae)

	· 2		,	
Compound	Conformer	$\Sigma F_{r}^{*}$	DHP	Р
(1 + 4)	Α	1.12	+	+
	В	0.95	<u> </u>	
(2 + 3)	Α	1.19	_	+
<b>( ) )</b>	В	1.08	+	+
	В'	1.02	_	
	С	0.92		
(2 + 4)	Α	1.22	+	+
<b>X</b> - 1 -7	В	1.08	-+-	+
	Β′	1.05		
	С	0.91		_
(3 + 3)	A	1.13		+
(0 1 -)	В	1.03	+	÷
	С	0.93	<u> </u>	_
(3 + 4)	Α	1.15	+	+
<b>V</b> = 1 <i>y</i>	в	1.05	+	÷
	Β'	1.02	<u> </u>	_
	С	0.92		
(4 + 4)	Α	1.18	+	+
· · · ·	в	1.05		+
	С	0.92		

Our PCDH results show that the expected  $P_{As}$  and  $P_{Bs}$  are indeed observed in all cases. The  $P_{B's}$  also expected in three cases are not formed, but may have escaped detection because of their small contribution to the overall product. No  $P_{Cs}$  were observed at any

temperature. Our results thus fully conform to the qualitative expectations of the calculations. Regarding the ratio between  $P_A$  and  $P_B$ ,  $P_B$  is the preferred product in (2+3) and (2+4) despite the lower corresponding  $\Sigma F_r^*$  values. This correlates with the fact that only in these cases the  $P_{BS}$  can be almost coplanar. In the other  $P_{B}s$ , and of course in the  $P_{A}s$ , steric repulsions prevent coplanarity. This may be a secondary factor in determining the relative contributions of several allowed cyclization paths. Technical factors, such as too low concentrations or too short lifetimes, may be responsible for the fact that three DHPs expected according to the observed Ps were not detected. The quantum yields of PCDH decrease as we move to higher homologues in the diarylethylenes series: (4+4) < (3+4) < (3+3), and therefore the possibility of flash-photolytic detection of DHPs derived from higher members, e.g. (4 + 5), (5+5), is small.

On the whole, the control of DHP formation by the conformational equilibrium in the ground state,  $cis(A) \rightarrow cis(B) \rightarrow cis(C)$  first reported <sup>1</sup> for (2 + 2) seems to exist also in the present compounds. Steric factors *i.e.* molecular overcrowding, are seen to decrease the photocyclization yields in the higher members. This may be due to a real decrease in  $Q(cis_A \rightarrow DHP_A)$  or to shifts in the conformeric equilibria in favour of the less hindered cis(B) and cis(C) conformers. However, the main deviation from coplanarity already exists in *cis*-stilbene, and fusing on additional benzene rings in any position makes little difference.<sup>10, 15</sup>

The relationship between the properties of DHP<sub>A</sub>s and DHP<sub>B</sub>s described above roughly parallels that reported <sup>1</sup> for (2 + 2): DHP<sub>B</sub>s absorb at longer wavelengths in the visible region, decay faster at room temperature, and are still formed at much lower temperatures. It would have been of particular interest to compare the properties of those pairs of DHP<sub>A</sub>s which on dehydrogenation yield the same helicene, *i.e.* DHPs which differ only in the position of the single bond: (2 + 2) and (1 + 3), (2 + 3) and (1 + 4), (2 + 4) and (3 + 3). Unfortunately (1 + 3) was not available at the time, while no DHP<sub>A</sub>s of (2 + 3) and (3 + 3) were detected.

The pronounced variation of the cyclization quantum yields with the temperature observed in (2 + 2) and related compounds <sup>1</sup> was also found in the present series, indicating again the existence of substantial energy barriers on the path from singlet-excited *cis* to DHP<sub>A</sub>, and to a smaller extent DHP<sub>B</sub>. Again it is plausible to blame steric factors.

Spectra.—Figures 2a and b show all trans-absorption and -emission spectra to be rather similar, with almost complete overlap of the longest absorption and shortest emission bands. The former is rather weak in most cases, and in particular in (1 + 4). We may conclude that the geometry in the ground and the excited states of the trans-molecules is similar, and that these are almost coplanar.<sup>10,15</sup> The observed variation of the emission spectra of several trans-compounds with the temperature and the excitation wavelength may be ascribed to the existence, in solution, of an equilibrium mixture of two or more modifications. These could be either conformers, as postulated earlier, 9,11,16 for (1+2) and (2+2), or association products.<sup>17</sup> Some of the overlap of absorption and emission spectra may be due to the fact that both are actually superpositions of several spectra.

In the cis-isomers large Stokes' shifts were observed, indicating extensive relaxation processes immediately following excitation, and again following emission. As described before,<sup>10</sup> these relaxations result from the fact that the equilibrium angles of twist around the central quasi-double bonds in the cis-isomers are determined mainly by the bond order and by the usual tendency towards coplanarity, opposed by the repulsion between the protons and carbon atoms in the ortho-positions of the two rings. The bond orders and therefore the angles of twist vary greatly between the ground and the excited states.

#### EXPERIMENTAL

Techniques of photochemistry, absorption and emission spectrophotometry, and flash photolysis, all over a wide range of temperatures, were essentially as described.<sup>1</sup> The copper block technique 18 was used throughout. Flash cells were made of reactangular cross section quartz tubing  $(4 \times 16 \text{ mm})$  or Pyrex tubing  $(3 \times 12 \text{ mm})$  with light paths of 20-70 mm.18

All compounds except (2 + 4) were kindly furnished by Professor R. H. Martin, Brussels; (2 + 4) was a gift from Professor W. H. Laarhoven, Nijmegen. When necessary, cis-isomers were prepared by u.v. irradiation. The compounds were purified by t.l.c. (alumina).

Methylcyclohexane (spectrograde) and isohexane were passed through columns of Woelm basic alumina to remove traces of water and aromatic compounds. 2-Methyltetrahydrofuran was passed through a similar column to remove water and peroxides and then distilled in vacuo into the cells, which were fused off. Solutions in open, longnecked cells were flushed continuously by argon containing <5 p.p.m. oxygen. The argon was initially passed through the solution and later above it, to prevent air from seeping in. Argon flushing also served to mix the solutions when required.

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